

(4) THE MOST RECENT UNCONSOLIDATED FINANCIAL STATEMENT OF THE APPLICANT THAT SHALL:

(I) BE PREPARED IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES APPLIED ON A CONSISTENT BASIS;

(II) BE A CERTIFIED OPINION AUDIT PREPARED BY AN INDEPENDENT CERTIFIED PUBLIC ACCOUNTANT;

(III) INCLUDE A SCHEDULE OF ALL PERMISSIBLE INVESTMENTS, IF ANY, OF THE APPLICANT; AND

(IV) BE NO OLDER THAN 12 MONTHS BEFORE THE DATE OF THE APPLICATION;

(5) THE NAME, ADDRESS, AND TELEPHONE NUMBER OF THE APPLICANT'S RESIDENT AGENT IN THE STATE;

(6) A HISTORY OF MATERIAL LITIGATION AGAINST THE APPLICANT, IF ANY, FOR THE PAST 3 YEARS; AND

(7) ANY OTHER INFORMATION THAT THE COMMISSIONER REASONABLY REQUIRES.

(C) IF THE APPLICANT IS A SOLE PROPRIETORSHIP, THE APPLICANT ALSO SHALL PROVIDE:

(1) THE APPLICANT'S RESIDENCE ADDRESS, TELEPHONE NUMBER, AND ELECTRONIC MAIL ADDRESS; AND

(2) THE APPLICANT'S CREDIT REPORT THAT IS NO OLDER THAN 3 MONTHS BEFORE THE DATE OF THE APPLICATION.

(D) IF THE APPLICANT IS A JOINT VENTURE OR PARTNERSHIP, THE APPLICANT ALSO SHALL PROVIDE:

(1) THE RESIDENCE ADDRESS, TELEPHONE NUMBER, AND ELECTRONIC MAIL ADDRESS OF EACH COVENTURER OR GENERAL PARTNER;

(2) AN INDIVIDUAL CREDIT REPORT FOR ALL COVENTURERS OR GENERAL PARTNERS THAT IS NO OLDER THAN 3 MONTHS BEFORE THE DATE OF APPLICATION; AND

(3) A COPY OF ALL JOINT VENTURE OR PARTNERSHIP AGREEMENTS FOR THE APPLICANT.

(E) IF THE APPLICANT IS A CORPORATION OR LIMITED LIABILITY COMPANY, THE APPLICANT ALSO SHALL PROVIDE:

(1) THE NAME, BUSINESS TELEPHONE NUMBER, ELECTRONIC MAIL ADDRESS, AND THE RESIDENCE ADDRESS AND TELEPHONE NUMBER OF THE EXECUTIVE OFFICERS, DIRECTORS, AND ALL KEY SHAREHOLDERS OR MEMBERS;